

# Categories of Line Operators and VOAs

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# Motivation

# Symmetries

- If a physical system has a group or algebra of symmetries then representations of this group or algebra help in understanding the physics.
- A two-dimensional conformal field theory always has an infinite dimensional Lie algebra, the Virasoro algebra, acting and so it is considered to be a rare example of a quantum field theory that is in principle completely understandable.
- The symmetry algebra of a two-dimensional CFT is a vertex operator algebra (VOA).

# Modern Symmetries

- Representations of a group or algebra of a certain type are usually summarized into suitable categories of representations.
- Categories can have more structure, as e.g. a tensor structure with non-trivial commutativity isomorphisms (braidings).
- Complicated categories and additional categorical structures appear more and more in some classes of modern quantum theories.

# This Talk

- Additional categorical structures are very hard to study in general.
- I want to give a somehow categorical version of free field realization.
- By a free field realization I vaguely mean a connection of the algebra of interest to a much simpler structure.
- The idea is that the complicated representation theory is completely specified by a nilpotent algebra inside the category of the free field algebra.

## Realizations for $\mathfrak{sl}_2$

- The Cartan subalgebra of  $\mathfrak{sl}_2$  is just  $\mathbb{C}$  and one can view  $\mathfrak{sl}_2$  as an algebra in the category of modules of the Cartan subalgebra.
- In this case the nilpotent algebra would just be  $\mathbb{C}[e]$  with  $e$  the usual nilpotent element of  $\mathfrak{sl}_2$  (and this would be inside the universal enveloping algebra).
- The Lie algebra  $\mathfrak{sl}_2$  acts on the Lie group  $SL_2$  via left (or right) invariant vector fields and so it is a subalgebra of an algebra of differential operators.

# Vertex Operator Algebras

# Vertex Operator Algebra (VOA)

A vertex operator (super)algebra  $(V, |0\rangle, T, Y)$  is:

- a vector(super) space  $V$  (usually over  $\mathbb{C}$ ),
- fields

$$Y(\cdot, z) : V \rightarrow \text{End}(V)[[z^{\pm 1}]],$$

- a vacuum  $|0\rangle \in V$ ,
- a translation operator  $T \in \text{End}(V)$ .
- an action of the Virasoro algebra on  $V$ .
- Satisfying various axioms, most importantly locality

$$(z - w)^N [Y(v, z), Y(v', w)] = 0,$$

for all  $v, v' \in V$  and sufficiently large  $N \in \mathbb{Z}$ .

- One writes  $V$  for  $(V, |0\rangle, T, Y)$ .



- There is a similar notion of modules.
- Tensor product is realized by fields  $\mathcal{Y}$  that intertwine between modules.
- Associator and commutator of the tensor product is non-trivial as it is defined by analytic continuation of correlation functions of intertwining fields:

$$\begin{aligned} & \langle w_4, \mathcal{Y}^2(w_2, z_2) \mathcal{Y}^1(w_1, z_1) w_3 \rangle \\ & \langle w_4, \mathcal{Y}^1(w_1, z_1) \mathcal{Y}^2(w_2, z_2) w_3 \rangle \\ & \langle w_4, \mathcal{Y}^1(\mathcal{Y}^2(w_2, z_2 - z_1) w_1, z_1) w_3 \rangle \end{aligned}$$

- Physics suggests that suitable categories of VOA modules are ribbon.

# Ribbon Category

- An abelian category  $\mathcal{C}$  with a tensor bifunctor

$$\otimes : \mathcal{C} \times \mathcal{C} \rightarrow \mathcal{C}$$

with various properties.

- The tensor product is associative up to natural isomorphisms.
- The tensor product is commutative up to natural isomorphisms (braidings).
- every object has a dual.
- the braiding is balanced.

# Historical Highlights

- VOAs are the symmetry algebras of two dimensional conformal field theories (CFTs), 1980's.
- Key ingredient in the proof of monstrous moonshine (Borcherds, Fields medal 1998).
- Axioms of rational CFT lead to modular tensor categories (Verlinde, Moore-Seiberg, 1988; proven by Yi-Zhi Huang, 2005).
- Knot, link and 3-manifold invariants via topological field theories (Witten, Fields medal 1990).
- Ribbon equivalence of categories of affine Lie algebras and quantum groups (Kazhdan-Lusztig 1996)

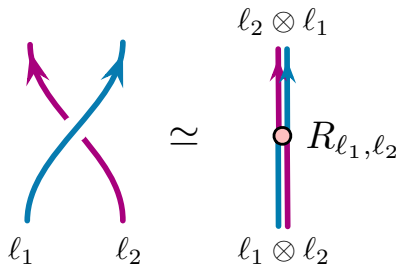
# Modern Highlights

- Correspondences of 4-dimensional quantum physics and 2-dimensional CFT (Beem, Rastelli, Gaiotto, ....).
- $W$ -algebras act on moduli spaces of instantons (Vasserot-Schiffmann, Braverman-Finkelberg-Nakajima, ....).
- Quantum geometric Langlands correspondence (Gaiitsgory, Frenkel, ....)
- Invariants of 3 and 4-manifolds (Feigin, Gukov, ...)
- Topological recursion (Kontsevich-Soibelman, ...).

# Braiding of Line Operators

- Some 3-dimensional  $\mathcal{N} = 4$  gauge theories allow for boundary conditions that are **not** topological after twisting. These boundary conditions then support a 2-dimensional VOA (Costello, Gaiotto 2018).
- Categories of line operators should form derived braided tensor categories
- these are in particular non-semisimple and non-finite and often non locally finite categories. In fact they are usually not tame, but wild.

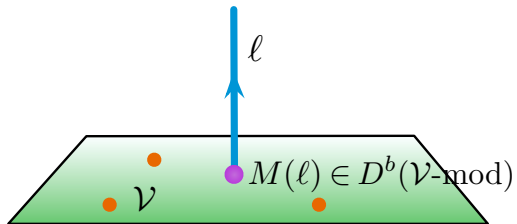
# Boundary VOAs



# Category of Line Operators

- Question: What do these categories of line operators look like?
- There are various geometric models, but they don't incorporate the braided tensor structure.
- Thus, it is a good idea to use the boundary VOA.

# Category of Line Operators and boundary VOA





## The boundary VOAs

- The  $A$ -twisted boundary VOA is defined as a BRST (relative semiinfinite Lie algebra) cohomology of a suitable system of symplectic bosons
- The  $B$ -twisted boundary VOA is an (unusual) affine vertex superalgebra
- A concrete dictionary is only worked out for abelian theories and in particular in that case boundary VOAs and categories of line operators respect mirror symmetry (Bailin-C-Dimofte-Niu 2023).
- All these boundary VOAs allowed for nice free field realizations and that should be key.

## Free field realization

- Let  $V$  be a VOA, that embeds conformally into a free field VOA  $A$  (e.g. free bosons and/or fermions).
- Let  $\mathcal{U}$  be a braided tensor category of  $V$ -modules such that  $A$  is an object in  $\mathcal{U}$ .
- Let  $\mathcal{C}$  be the braided tensor category of  $A$ -modules that lie in  $\mathcal{U}$ .
- $\mathcal{C}$  is just the category of vector spaces graded by some abelian group and characterized by a quadratic form. It is as easy as a tensor category can be.
- How much is  $\mathcal{U}$  determined by  $\mathcal{C}$  and  $A$ ?

## Algebras in Categories

Let  $\mathcal{U}$  be a braided tensor category. An **algebra** in  $\mathcal{U}$  is an object  $A$  in  $\mathcal{U}$  together with a multiplication map

$$m : A \otimes A \rightarrow A$$

and a unit

$$u : \mathbf{1} \rightarrow A$$

such that the multiplication is associative and compatible with left and right multiplication, e.g. the diagram commute:

$$\begin{array}{ccc} (A \otimes A) \otimes A & \xrightarrow{\alpha_{A,A,A}^{-1}} & A \otimes (A \otimes A) \\ m \otimes \text{Id}_A \downarrow & & \downarrow \text{Id}_A \otimes m \\ A \otimes A & & A \otimes A \\ & \searrow m & \swarrow m \\ & A & \end{array}$$

# Commutative Algebras in Categories

The algebra  $A$  is called **commutative** if the diagram

$$\begin{array}{ccc} A \boxtimes A & \xrightarrow{c_{A,A}} & A \boxtimes A \\ & \searrow m & \swarrow m \\ & A & \end{array}$$

commutes.

- There is a category  $\mathcal{U}_A$  of  $A$ -modules in  $\mathcal{U}$ .
- $\mathcal{U}_A$  is a tensor category but not braided.
- $\mathcal{U}_A$  has a braided tensor subcategory of local modules.

## Back to free field realization

- The free field algebra  $A$  translates to a commutative algebra in  $\mathcal{U}$
- The category  $\mathcal{C}$  is precisely the tensor category of local  $A$ -modules.
- The aim is to obtain  $\mathcal{U}$  from the knowledge of  $\mathcal{U}_A$ .

Example:  $g_{1|1}$

# History

- Computes Alexander-Conway polynomials of knots and links (Rozansky-Saleur, 1992).
- A very first example of a logarithmic CFT (Saleur-Schomerus 2005, ...).
- Used to study disordered systems (LeClair, Ludwig, Saleur, ...)
- Rigorously only understood now (C-McRae-Yang 2020).

# The Lie Algebra

Defining representation, even generators

$$N = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad E = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix},$$

odd generators

$$\psi^+ = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad \psi^- = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix},$$

Relations

$$[N, \psi^\pm] = \pm \psi^\pm, \quad \{\psi^+, \psi^-\} = E.$$



## Verma Modules

- Let  $V_{n-\frac{1}{2},e}$  for  $n, e \in \mathbb{C}$  be the Verma module generated by a highest-weight vector  $v$  such that

$$N \cdot v = nv, \quad E \cdot v = ev, \quad \psi^+ \cdot v = 0.$$

- Since  $\psi^-$  squares to zero every Verma module has dimension 2; thus  $n$  is the average of the two  $N$ -eigenvalues of  $V_{n,e}$ .
- The Verma module  $V_{n,e}$  is irreducible if and only if  $e \neq 0$ . Atypical when  $e = 0$ , we denote the 1-dimensional irreducible quotient of  $V_{n,e}$  by  $A_{n+\frac{1}{2}}$ .
- For each  $n \in \mathbb{C}$ , there is a non-split exact sequence

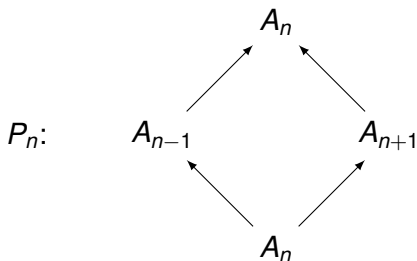
$$0 \rightarrow A_{n-\frac{1}{2}} \rightarrow V_{n,0} \rightarrow A_{n+\frac{1}{2}} \rightarrow 0.$$

## Projective Modules

- For  $n \in \mathbb{C}$ , the module  $P_n$  has basis  $v_n, \psi^\pm v_n, \psi^+ \psi^- v_n$ , where where  $E \cdot v_n = 0$  and  $N \cdot v_n = n v_n$ .
- The module  $P_n$  is indecomposable but reducible and satisfies the non-split exact sequence

$$0 \rightarrow V_{n+\frac{1}{2},0} \rightarrow P_n \rightarrow V_{n-\frac{1}{2},0} \rightarrow 0.$$

- It has Loewy diagram



# The affine Lie algebra

- $r, s \in \mathbb{Z}$

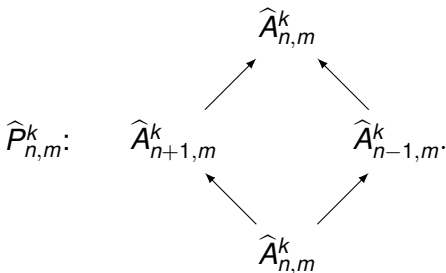
$$[N_r, E_s] = r\mathbf{k}\delta_{r+s,0}, \quad [N_r, \psi_s^\pm] = \pm\psi_{r+s}^\pm,$$

$$\{\psi_r^+, \psi_s^-\} = E_{r+s} + r\mathbf{k}\delta_{r+s,0},$$

- $\mathbf{k}$  central
- The zero-mode algebra  $\langle E_0, N_0, \psi_0^\pm \rangle$  is isomorphic to  $\mathfrak{gl}_{1|1}$

# Modules

- Induced module  $\widehat{M}$ :  $M$  a  $\mathfrak{gl}_{1|1}$ -module, then for  $k \in \mathbb{C}$ , let  $\mathbf{k}$  act by multiplication of  $k$  and  $X_r$  by zero for  $r \in \mathbb{Z}_{>0}$  and  $X_s$  freely for  $s \in \mathbb{Z}_{<0}$ .
- Modules have a similar structure, except that if  $\mathbf{e} \in k\mathbb{Z}$ , then modules are of atypical type, i.e.



# The VOA

- Generating fields  $E(z)$ ,  $N(Z)$ ,  $\Psi^\pm(z)$ .
- Operator products

$$N(z)E(w) \sim \frac{k}{(z-w)^2}, \quad N(z)\psi^\pm(w) \sim \frac{\pm\psi^\pm(w)}{(z-w)}$$

$$\psi^+(z)\psi^-(w) \sim \frac{k}{(z-w)^2} + \frac{E(w)}{(z-w)}$$

## The free field realization

- Free bosons  $X(z)$ ,  $Y(z)$  and free fermions  $b(z)$ ,  $c(z)$
- Operator products

$$X(z)Y(w) \sim \frac{1}{(z-w)^2}, \quad b(z)c(w) \sim \frac{1}{(z-w)}$$

- The embedding

$$E = kY, \quad N = X + cb + \frac{1}{2}Y,$$

$$\psi^- = b, \quad \psi^+ = -k\partial c + kcY,$$

- It is characterized as the kernel of the zero-mode  $S_0$  (screening charge) of the field  $S = be^Y$ .

# Modules of the free field algebra

- The free fermions are holomorphic, i.e. the VOA is its only simple module
- The free boson simple modules are just Fock modules  $\pi_\lambda$  for  $\lambda \in \mathbb{C}^2$  with fusion rules

$$\pi_\lambda \otimes \pi_\mu \cong \pi_{\lambda+\mu}$$

- The category of modules of the free field algebra is a ribbon category that is equivalent to  $\mathcal{C} = \text{Vect}_{\mathbb{C}^2}^Q \boxtimes \text{sVect}$  for a certain non-degenerate quadratic form  $Q$ .
- This is as easy as a tensor category can be.

## The Nichols algebra of screenings

- The screening charge  $S_0$  is naturally associated with the highest-weight vector of a module  $x = \pi_\alpha \otimes bc$ .
- It satisfies  $S_0^2 = 0$ .
- It is identified with the algebra  $\mathfrak{N} = \mathbb{C}[x]/x^2$ , but viewed as an algebra in  $\mathcal{C}$ .
- $\mathfrak{N}$  is a Hopf algebra (a Nichols algebra) in  $\mathcal{C}$  and there is an associated tensor category  $\text{Rep}(\mathfrak{N})(\mathcal{C})$ .
- Projective modules in this category are of the form

$$0 \rightarrow \pi_{\lambda+\alpha} \otimes bc \rightarrow P_\lambda \rightarrow \pi_\lambda \otimes bc \rightarrow 0$$



## The category $\text{Rep}(\mathfrak{N})(\mathcal{C})$

- $\text{Rep}(\mathfrak{N})(\mathcal{C})$  is not braided
- The Drinfeld center of a tensor category is always braided, so  $\mathcal{Z}(\text{Rep}(\mathfrak{N})(\mathcal{C}))$  is braided.
- $\mathcal{Z}(\text{Rep}(\mathfrak{N})(\mathcal{C}))$  contains  $\mathcal{C}^{\text{rev}}$  as a subcategory and its centralizer  $\mathcal{Z}_{\mathcal{C}}(\text{Rep}(\mathfrak{N})(\mathcal{C}))$  is braided as well.
- Relative centers  $\mathcal{Z}_{\mathcal{C}}(\text{Rep}(\mathfrak{N})(\mathcal{C}))$  can be identified with categories of Yetter-Drinfeld modules  ${}_{\mathfrak{N}}\mathcal{YD}(\mathcal{C})$  and the latter allow often for realizing quasi Hopf algebras
- In this case this is  $u_q^H(\mathfrak{gl}_{1|1})$  for  $q = e^{\pi i/k}$ .

# The Kazhdan-Lusztig equivalence

## Theorem (TC-Lenter-Rupert)

*The categories of weight modules of the affine VOA of  $\mathfrak{gl}_{1|1}$  at non-zero level  $k$  and of  $u_q^H(\mathfrak{gl}_{1|1})$  are equivalent as braided tensor categories.*

In this case  $\mathcal{U}_A \cong \text{Rep}(\mathfrak{N})(\mathcal{C})$ .

# The Kazhdan-Lusztig equivalence

## Remark

*In general we prove that a VOA  $V$  that embeds in a free field algebra  $F$  characterized as kernel of screening charges is equivalent to  ${}_{\mathfrak{N}}\mathcal{YD}(\mathcal{C})$  (and thus the category of its realizing quasi Hopf algebra) provided a series of technical Assumptions can be verified.*

*Even if these assumptions can not be verified, the free field realization together with the screenings defines the category  ${}_{\mathfrak{N}}\mathcal{YD}(\mathcal{C})$ .*

## What is next?

- Kazhdan-Lusztig correspondence with quantum supergroups will be proven for the boundary VOAs of abelian 3-dimensional  $\mathcal{N} = 4$  gauge theories
- The quantum groups will be related to matrix factorization and so will hopefully help us to understand the connection between geometric and VOA interpretations of categories of line operators.
- This is done with Tudor Dimofte and Wenjun Niu.